I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020211-000500US

Assistant Commissioner for Patents Washington, D.C. 20231

on New 12, 2001

RECEIVED

TOWNSEND and CREW LLP

JAN 0 2 2002

Technology Center 2600

Connie Larson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Roger Kenneth Moore

Application No.: 09/870,043

Filed: May 29, 2001

For: SPEECH SYNTHESIS

Examiner:

Art Unit:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfull supmitted,

Reg. No. 38,464

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: (303) 571-4000

Fax: (303) 571-4321

DJG/cl

DE 7055075 v1

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO						
		ON DIO	OL OCUPE	Application Number	09/870,043	
			CLOSURE	Filing Date	May 29, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Roger Kenneth Moor	
(use as many sheets as necessary)				Group Art Unit		RECEIVED
			necessary)	Examiner Name		•
Sign	2	of	2	Attorney Docket Number	020211-000500US	JAN 8 2 2002
ريبر 10						Technology Center 2600
Æ/			USP	ATENT DOCUMENTS		160111101033

N. C.		U.S. Pa	atent Document				Pages, Columns, Lines,		
Examiner Initials *	Cite No. ¹	Number	Kind Code ² (if known)		Patentee or Applicant ited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear		
				FOREIGI	N PATENT DOC	UMENTS			
		For	reign Patent Do				Pages, Columns, Lines,		
Examiner Initials*	Cite No.1	For Office ³	reign Patent Do Number⁴		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
				cument Kind Code ⁵	Name of Patentee or Applicant of	Date of Publication of Cited Document	Where Relevant Passages or Relevant	Т6	

U.S. PATENT DOCUMENTS

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
		lain R. Murray, et al., A Tool for the Rapid Development of New Synthetic Voice Personalities, Speech and Language Technology for Disabled Persons, Proceedings of an ESCA Workshop, Stockholm, Sweden, May 31-June 2, 1993.	

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND.FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. DC 20231. DC 7055077 v1



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box -> +

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
or respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons

TD 4 1.01		Application	on Number	09/870,043	
TRANSMITTAL	Filing Date First Named Inventor		May 29, 2001		
ৣ [°] §∖ ′ FORM			Roger Kenneth Moore		
(to be sed for all correspondence after	initial filing)	Group Art	Unit		
, 2		Examiner	Name		
Number of Pages in This Submissio	n	Attorney [Oocket Number	020211-000500US	
	ENCLO	SURES (ch	eck all that apply)		
Fee Transmittal Form	Assignment Papers (for an Application)		After Allowance Communication to Group		
Fee Attached	Drawing	Drawing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	1 =	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information		
Affidavits/declaration(s)	Petition to Convert to a Provisional Application		Status Letter	RECEIV	
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address		Return Postcard Other Enclosure(s)	JAN 02	
	Termina	Uther Enclosure(s) (please identify below): Technolog Terminal Disclaimer		Chnology Cent	
Express Abandonment Request	Request for Refund				
Supplemental Information Disclosure Statement	CD, Number of CD(s)				
Certified Copy of Priority Document(s)	Remar		ne Commissioner i Deposit Account	s authorized to charge any a 20-1430.	dditional fees
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
			T, ATTORNEY, O	R AGENT	
Firm Townsend and Darin J. Gibb			v LLP		
Signature					
Date 1/12	101				
· · · · · · · · · · · · · · · · · · ·	CE	TIFICATE	OF MAILING		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

DE 7055081 v1

Signature

Typed or printed name

Connie Larson